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Printed Name Maria J. Sousa

Signature

Date

April 1, 2004

**SECOND PRELIMINARY
AMENDMENT**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Attorney Docket

GUID-011CON2

Confirmation No.

3571

First Named Inventor

Hu, Lawrence W.

Application Number

10/734,353

Filing Date

December 12, 2003

Group Art Unit

3764

Examiner Name

Not yet assigned

Title

Surgical Instruments for Accessing and
Stabilizing a Localized Portion of a
Beating Heart

Sir:

Prior to examination, please amend the current application as follows:



Please type a plus sign (+) inside this box →



PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number	10/734,353																																	
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		Examiner Name	Not yet assigned																																	
Total Number of Pages in This Submission		28	Attorney Docket Number	GUID-011CON2																																
ENCLOSURES (check all that apply)																																				
<table border="1"><tr><td><input type="checkbox"/> Fee Transmittal Form in duplicate</td><td><input type="checkbox"/> Assignment Papers (for an Application)</td><td><input type="checkbox"/> After Allowance Communication to Group</td></tr><tr><td><input checked="" type="checkbox"/> Second Preliminary Amendment (18 pgs.)</td><td><input type="checkbox"/> Drawing(s)</td><td><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences</td></tr><tr><td><input type="checkbox"/> After Final</td><td><input type="checkbox"/> Licensing-related Papers</td><td><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)</td></tr><tr><td><input type="checkbox"/> Affidavits/declaration(s)</td><td><input type="checkbox"/> Petition</td><td><input type="checkbox"/> Proprietary Information</td></tr><tr><td><input type="checkbox"/> Extension of Time Request</td><td><input type="checkbox"/> Petition to Convert to a Provisional Application</td><td><input type="checkbox"/> Status Letter</td></tr><tr><td><input type="checkbox"/> Express Abandonment Request</td><td><input type="checkbox"/> Revocation, Power of Attorney</td><td><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):</td></tr><tr><td><input type="checkbox"/> Information Disclosure Statement</td><td><input type="checkbox"/> Change of Correspondence Address</td><td>-Request for Corrected Filing Receipt (2pgs.)</td></tr><tr><td><input type="checkbox"/> Certified Copy of Priority Documents</td><td><input type="checkbox"/> Statement Under 37CFR 3.73(b)</td><td>-Copy of Receipt with changes in red (2 pgs.)</td></tr><tr><td><input type="checkbox"/> Response to Missing Parts/Incomplete Application</td><td><input type="checkbox"/> Request for Refund</td><td>-Copy of Utility Patents Appl. Transmittal (1pg.)</td></tr><tr><td><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 (1 pg.)</td><td><input type="checkbox"/> CD, Number of CD(s) _____</td><td>-Second Application Information (4 pgs.)</td></tr><tr><td></td><td></td><td>- Postcard</td></tr></table>				<input type="checkbox"/> Fee Transmittal Form in duplicate	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group	<input checked="" type="checkbox"/> Second Preliminary Amendment (18 pgs.)	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences	<input type="checkbox"/> After Final	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information	<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter	<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Revocation, Power of Attorney	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):	<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Change of Correspondence Address	-Request for Corrected Filing Receipt (2pgs.)	<input type="checkbox"/> Certified Copy of Priority Documents	<input type="checkbox"/> Statement Under 37CFR 3.73(b)	-Copy of Receipt with changes in red (2 pgs.)	<input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Request for Refund	-Copy of Utility Patents Appl. Transmittal (1pg.)	<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 (1 pg.)	<input type="checkbox"/> CD, Number of CD(s) _____	-Second Application Information (4 pgs.)			- Postcard
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Remarks																																				
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT																																				
Firm or Individual Name	ALAN W. CANNON, Reg. No. 34,977																																			
Signature																																				
Date	APRIL 1, 2004																																			

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Typed or printed name	Maria J. Sousa
Signature	
Date	April 1, 2004

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